#### UTILITY Attorney Docket No. ETH5106 PATENT APPLICATION First Inventor Parris Wellman TRANSMITTAL Surgical System for Retracting and Severing Tissue Title (only for new nonprovisional applications under 37 CFR Ev138492 Express Mail Label No. 1.53(b)) ADDRESS TO: APPLICATION ELEMENTS Mail Stop Patent Application & Commissioner for Patents See MPEP Chapter 600 concerning utility patent application P.O. Box 1450 contents. Alexandria, VA 22313-1450 1. ☐ Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 3. Specification [Total Pages 42] 8. Nucleotide and/or Amino Acid Sequence (Preferred arrangement set forth below) Submission (if applicable, all necessary) - Descriptive Title of the Invention a. Computer Readable Form (CRF) - Cross Reference to Related Applications b. Specification Sequence Listing on: - Statement Regarding Fed sponsored R&D i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper - Reference to sequence listing, a table, or a computer program listing appendix c. Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney - Claim(s) (when there is an assignee) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations 4. ☑ Drawing(s)(35 USC 113) [Total Sheets 36] 5. Oath or Declaration [Total Pages 3] 13. Preliminary Amendment 14. ☐ Return Receipt Postcard (MPEP 503) a. Mewly unexecuted (original or copy) (Should be specifically itemized) b. Copy from a prior application (37 CFR 1.63(d)) 15. ☐ Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) i. DELETION OF INVENTOR(S) 16. Request and Certifications under 35 U.S.C. 122 Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form inventor(s) named in the prior application, PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: , filed Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS □ Customer Number or Bar Code Label 000027777 or Correspondence Address below Philip S. Johnson, Esq. Name: Address: Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA 20. TELEPHONE CONTACT Please direct all telephone calls or telefaxes to Brian S. Tomko at: (732) 524-1239 Fax: (732) 524-2808 Telephone: 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED NAME Brian S. Tomko Reg. No. 41349

SIGNATURE

DATE

October 31, 2003

# FEE TRANSMITTAL Application Number Filing Date First Named Inventor Parris Wellman Group Art Unit Examiner Name Attorney Docket Number ETH5106

#### **FEE CALCULATION**

#### **CLAIMS AS FILED**

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$750.00
TOTAL CLAIMS	16 - 20 =	0 .	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	2 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS		N/A	\$280.00	
			TOTAL FEES	\$ 750.00

#### **METHOD OF PAYMENT**

- Please charge Deposit Account No. 10-0750/ETH51060/BST in the amount of Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ETH5106/BST. Three copies of this sheet are enclosed.

SUBMITTED BY:			Complete (if applicable)
Typed or Printed Name	Brian S. Tomko		Reg. No. 41,349
Signature	BSR	Date: October 31, 2003	Deposit Account No. 10-0750

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wellman et al.

For : Surgical System for Retracting and Severing Tissue

### Express Mail Certificate

262

"Express Mail" mailing number: EV138492523US

Date of Deposit:

October 31, 2003

I hereby certify that this complete application, including specification pages, claims, drawings, and Information Disclosure Statement/Form 1449, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Ceceile Solomon

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)